

PTO/88/26 (09-04)

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# TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT

Docket Number (Optional)

S1509.70029US00

In re Application of:

**Eric Thor Fossel** 

Application No.:

08/932227-Conf. #5092

Filed:

September 17, 1997

For: TOPICAL DELIVERY OF L-ARGININE TO CAUSE BENEFICIAL EFFECTS

The owner, Strategic Science & Technologies, LLC . of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of prior patent No. 5,922,332 as the term of said prior patent is defined in 35 U.S.C. 154 and 173, and as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above discisimer, the owner does not discisim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the prior patent, "as the term of said prior patent is presently shortened by any terminal discisimer," in the event that said prior patent later.

expires for failure to pay a maintenance fee;

is held unenforceable:

is found invalid by a court of competent jurisdiction;

is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;

has all claims canceled by a reexamination certificate;

is reissued; or

is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned is empowered to act on behalf of the business/organization.

Eric Thor Fossel

Typed or printed name

X Terminal disclaimer fee under 37 CFR 1.20(d) is included.

\*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/98 may be used for making this certification. See MPEP § 324.

Signature

Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated Mar. 8, 2006

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03/14/2006 CNGUYEN 00000031 08932227

985926.1



STATEMENT UNDER 37 CFR 3.73(b)				
Owner: Strategic Science & Technologies, LLC				
Patent No.:	5,922,332	Issue Date:	July 13, 1999	
Entitled: TOPICAL DELIVERY OF ARGININE TO OVERCOME PAIN				
(Name of Assignee)	·	,	lity company sership, university, government agency, etc.)	
states that it is the assignee of the entire right, title, and interest in the patent identified above by virtue of an assignment from the inventor of the patent identified above. A true copy of the original assignment is attached.				
From: _E	Eric Thor Fossel	To: <u>Strategic Scl</u>	lence & Technologies, LLC	
to the assignee v	of CFR 3.73(b)(1)(i), the document was, or concurrently is being, su ate copy (i.e., a true copy of the orig ion in accordance with 37 CFR Pai 8]	ubmitted for recordation pura ginal assignment document(s))	suant to 37 CFR 3.11.  i) must be submitted to	
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.  Signature  Signature				
Eric Thor Fossel Printed or Typed Name				
	President & CEO Title			
I hereby certify that this pape the date shown below with a Alexandria, VA 22313-1450	er (along with any paper referred to as be sufficient postage as First Class <u>Mail,</u> in a	ling Under 37 CFR 1.8(a) eing attached or enclosed) is being de in envelope addressed to: Commission	eposited with the U.S. Postal Service on loner for Patents, P.O. Box 1450,	

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Date:

December 9, 2005 Number of pages (including cover): 4

To:

Assignment Branch, U.S. Patent and Trademark Office

Fax No.:

571-273-0140

Patent No.:

5,922,332

Title:

TOPICAL DELIVERY OF ARGININE TO OVERCOME PAIN

From:

Tani Chen, Sc.D.

**Direct dial:** 617.646.8214

Our File #: \$1509.70027US00

## CERTIFICATE OF FACSIMILE TRANSMISSION 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being transmitted via facsimile to the attention of Examiner Assignment Branch, FAX number (571) 273-8300, at the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, in accordance with 37 C.F.R. §1.6(d), on \_\_\_ day of December, 2005.

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D()KET NO.: \$1509.70027US00

# PATENTS ONLY

(Re	RM PTO-1595 U.S. MAR 1 3 7006 ev. 6-93) IB No. 0651-0011 (exp. 4/949)	DEPARTMENT OF COMMERCE Patent and Trademark Office		
To the Commissioner for Patents : Please record the attached original documents or copy thereof.				
1.	Name of conveying party(ies):	2. Name and address of receiving party(ies)		
	Eric Thor Fossel	Name: Strategic Science & Technologies, LLC 58 Charles Street Cambridge, Massachusetts 02141		
Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No		Additional name(s) & addresses(es) attached? [] Yes [X] No		
3. Nature of conveyance:				
	[X] Assignment [] Merger [] Security Agreement [] Change of Name [] Other			
Exe	ecution Date: July 12, 2005			
4.	<ul> <li>4. Application number(s) or patent number(s):</li> <li>If this document is being filed together with a new application, the execution date of the application is</li> <li>A. Patent Application No.(s)</li> <li>B. Patent No.(s)</li> <li>5,922,332</li> </ul>			
Additional numbers attached? [ ] Yes [X] No				
5.	Name and address of party to whom correspondence Concerning document should be mailed:	6. Total number of applications and patents involved: [1]		
	Name: Tani Chen, Sc.D.	7. Total fee (37 CFR 3.41) \$ 40.00		
	Address: Wolf, Greenfield & Sacks, P.C.	[ ] Enclosed		
	600 Atlantic Avenue Boston, MA 02210-2206	[X] Authorized to be charged to deposit account		
		The Commissioner is authorized to charge any deficiencies in the enclosed payment to:		
		8. Deposit Account No: 23/2825		
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	Statement and signature  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a ue copy of the original document.			
	·p, or the original accumulation			

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Total number of pages including cover sheet, attachments, and document: [Three]

Signature

December

, 2005

Date

Tani Chen, Sc.D.

Name of Person Signing

### **ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned, Eric Thor Fossel, 909 West Roxbury Parkway, Chestnut Hill, Massachusetts, 02457; hereby:

- 1. Sell, assign and transfer to Strategic Science & Technologies, LLC, a Delaware limited liability company having a place of business at 58 Charles Street, Cambridge, Massachusetts 02141, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in United States Letters Patent Number 5,922,332, issued on July 13, 1999, and titled TOPICAL DELIVERY OF ARGININE TO OVERCOME PAIN, and in and to said patent and all non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority;
- 2. Agree that said Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything reasonably possible which said Assignee shall consider desirable for aiding in securing and maintaining proper protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee;
- 3. Request the Honorable Director of Patents and Trademarks to issue said Letters Patent to said Assignee;
- 4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation; and
- 5. Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

This instrument is executed under seal. Inventor: Eric Thor Fossel 909 West Roxbury Parkway Address: Chestnut Hill, Massachusetts 02457 Citizenship: United States of America COUNTY OF On this 1 day of  $\sqrt{1}$ , 2005, before me, the undersigned notary public, personally , proved to me through satisfactory evidence of identification, appeared ?... which were Davis Line \_, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose "Notary Public"

Jonathan D. Gilson
Commonwealth of Massachusetts
My Commission Expires on March 24, 2011

911662

My commission expires